

**Addendum to Client’s Agreement and Risk Disclosure Statements**

The following clause is amended in the manner stated below.

Original clause item	Revised clause item	Amendment (Newly added content will be underlined, and deleted content will be crossed)
N/A	<p><b>SECURITIES RISK DISCLOSURE STATEMENTS (page 72)</b></p>	<p>A new sub-clause is added to “SECURITIES RISK DISCLOSURE STATEMENTS”:</p> <p><b><u>"15. Risk of entering into over-the-counter derivative transactions with an unlicensed person</u></b></p> <p><u>If the Client enter into over-the-counter derivative transactions with any of the following entities as set out below, it is important for the Client to note that the Counterparties are not licensed by the SFC and hence are not subject to the conduct and prudential supervision by the SFC.</u></p> <p><b>List of Entities</b>  <u>BOCOM International Global Investment Limited;</u>  <u>BOCOM International Global Investment 2 Limited;</u>  <u>BOCOM International Global Investment 3 Limited;</u>  <u>BOCOM International Opportunity Investment Limited;</u>  <u>BOCOM International Horizon Investment Limited;</u>  <u>BOCOM International Premium Investment Limited;</u>  <u>BOCOM International Prosperity Investment Limited;</u>  <u>BOCOM International Advance Investment Limited;</u>  <u>BOCOM International Supreme Investment Limited;</u>  <u>BOCOM International Radiant Investment Limited;</u>  <u>BOCOM International Balance Investment Limited;</u>  <u>BOCOM International Apex Investment Limited;</u>  <u>BOCOM International Estar Investment Limited;</u>  <u>BOCOM International Global Fund SPC; and</u>  <u>Preferred Investment Management Limited</u>  <u>(collectively the “Counterparties”)</u></p> <p><u>The Client should also note that the Counterparties are not regulated by any other financial regulator and as such, the Client may not receive any regulatory protection at all.</u></p> <p><u>The Client should cautiously consider whether it would be in the Client’s best interest to enter into over-the-counter derivative transactions with a Counterparty instead of a licensed corporation and seek independent professional advice when in doubt. "</u></p>